



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,807	11/30/2001	Jay Short	DVSA-1005US

KNOBLE & YOSHIDA, LLC
Eight Penn Center
1628 John F. Kennedy Blvd
Philadelphia, PA 19103

CONFIRMATION NO. 6627

FORMALITIES LETTER



OC000000007527994

Date Mailed: 02/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

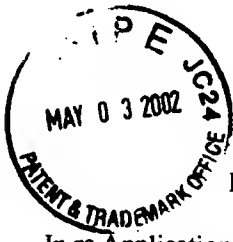
Customer Service Center
Initial Patent Examination Division (703) 308-1202

05/22/2002 HARMOL 00000013 500462 09997807 - COPY TO BE RETURNED WITH RESPONSE

01 FC:203 9.00 CH

05/07/2002 BNGUYEN1 00000008 09997807

01 FC:205 65.00 OP
02 FC:203 162.00 OP



COPY OF PAPERS
ORIGINALLY FILED

PATENT APPLICATION
Attorney Docket No.: DVSA-1005US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Short et al.)
U.S. Application No.: 09/997,807) Group Art Unit: 1646
Filed: November 30, 2001) Examiner: Unknown
For: Method of Making a Protein Polymer)
and Uses of The Polymer)

**TRANSMITTAL LETTER FOR
AMENDMENT**

Commissioner for Patents
Washington, D.C. 20231
Sir:

Transmitted herewith please find the following:

- ☒ Preliminary Amendment (38 pgs)
- ☒ Response to Notice to File Missing Parts Nonprovisional Application (2pgs)
- ☒ Declaration and Power of Attorney executed by Jay Short (3pgs)
- ☒ Declaration and Power of Attorney executed by Eric Mathur, W. Michael Lafferty, Nelson Barton and Kevin Chow
- ☒ Assignment Cover Sheet
- ☒ Assignment (4pgs)
- ☒ Check in the amount of \$162.00 for the presentation of 18 extra claims.
- ☒ Check in the amount of \$65.00 – surcharge for late filing of Declaration and Power of Attorney
- ☒ Check in the amount of \$40.00 for the Assignment recordation.

CLAIMS	Number Originally Filed	Number Extra Originally Paid	Number Extra by Amendment	RATE SMALL ENTITY	RATE	TOTAL
Total Claims	112 - 20 =	92	18	X \$ 9.00	X \$ 18.00	\$162.00
Independent Claims	30 - 3 =	27	0	X \$ 42.00	X \$ 84.00	0.00
Multiple Dependent Claims (if applicable)				+ \$ 140.00	+ \$ 280.00	
						\$162.00

Certificate of Mail Under 37 CFR 1.8

Date: April 25, 2002

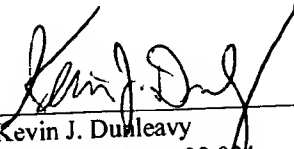
I hereby certify that this paper, along with any document or paper referred to as being attached, is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Jayne Webb
Name of person mailing correspondence

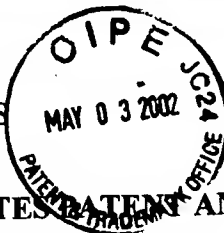
Jayne Webb
Signature of person mailing correspondence

The Commissioner is hereby authorized to charge any additional fees which
may be required under 37CFR 1.16 or credit any overpayment to Deposit Account No. 50-0462. A
duplicate copy of this sheet is enclosed.

April 25, 2002
Date


Kevin J. Dunleavy
Registration No. 32,024

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COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jay Short, Eric J. Mathur, W. Michael Lafferty,
Nelson Barton and Kevin Chow

Group Art Unit: 1646

Application No.:

09/997,807

Examiner:

Not Yet Assigned

Filed:

November 30, 2001

For: Method of Making A Protein Polymer and
Uses of the Polymer

Commissioner for Patents

BOX MISSING PARTS

Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
NONPROVISIONAL APPLICATION
Filed Under 37 CFR 1.53(b)**

Dear Sir:

In response to the Notice to File Missing Parts – Nonprovisional Application filed under 37 CFR 1.53(b), dated 25 February 2002, the deadline for response to which is due 25 April 2002, Applicants respectfully submit the following:

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

Date: 26 April 2002

I hereby certify that this paper, along with any document or paper referred to as being attached, is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 in an envelope addressed to the Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231.

Jayne Webb
Name of person mailing correspondence

Jayne Webb
Signature of person mailing correspondence

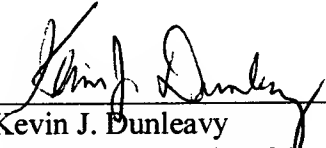
1. Declaration and Power of Attorney executed by Jay Short;
2. Declaration and Power of Attorney executed by Messrs. Mathur, Lafferty, Barton and Chow; and
3. Copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b).

Submitted concurrently with this response is an Assignment executed by each of the inventors to Diversa Corporation. Diversa Corporation is entitled to claim small entity status. A check in the amount of \$65.00 is enclosed for the surcharge for the late filing of the Declaration and Power of Attorney. The Commissioner is authorized to charge any additional fees associated with this response or credit any overpayment, to Deposit Account No. 50-0462.

Respectfully submitted,

Date: _____

4/25/02



Kevin J. Dunleavy
Registration No. 32,024

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